5/8/00 P.H. #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michio YAMAJI et al.

Serial No.:

09/437,296

Filed:

November 9, 1999

For:

FLUID COUPLING

MAY 0 3 2000 B

Group Art Unit: 3626

Examiner: Not yet assigned

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION PURSUANT TO 37 CFR 1.97(c)

Director of Patents and Trademarks Washington, D.C. 20231

May 3, 2000

Sir:

The attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. One copy of each of these references is attached.

This Information Disclosure Statement is being submitted after expiration of the three month period following filing of the above-captioned application and/or the issuance of a first official action on the merits, but prior to issuance of either a final official action or a Notice of Allowance.

The undersigned hereby certifies

XX that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

___ that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known

to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this

statement.

The above information is presented so that the Patent and Trademark Office may, in the first

instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and

1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully

requested that the information be expressly considered during the prosecution of this application, and

that the references cited in the attached Form PTO-1449 be made of record therein and appear on

the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which

is deemed by the Patent and Trademark Office to be required to effect consideration of this

statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON

William G. Kratz, Jr.
Attorney for Applicants

Reg. No. 22,631

Atty. Docket No. 991283

1725 K Street, N.W., Suite 1000

Washington, DC 20006 Tel: (202) 659-2930

Fax: (202) 887-0357

WGK/klh

Enclosures:

PTO-1449 and 4 references

Search Report under Section 17(5) dated March 30, 2000